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Rhonda Dunn
Rhonda Dunn

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Hooker, et al

Examiner: Unknown

Serial No. 10/718,290

Art Unit: 2131

Filed: 11/19/2003

Attorney Docket: AOL0153

For: METHOD AND APPARATUS FOR SECURE INSTANT MESSAGING

April 22, 2004

IDS TRANSMITTAL FORM

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Sir: Enclosed herewith are the following documents:

- Information Disclosure Statement (2 pages);
- Form 1449 (2 sheets);
- Copies of all Cited References;
- Copy of International Search Report (7 pages); and
- Return Postcard

Applicant believes there is no fee due for the IDS submission, however the Commissioner is authorized to charge any other fees that may be due, and credit any overpayments to Deposit Account No. 07-1445 (Order No. AOL0153). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Michael A. Glenn

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Certificate of Transmission Under 37 C.F.R. §1.10

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|------------------|-----------|------------------------------------|-------------|---|--------------------|
| Date of Deposit: | 4/22/2004 | Name of Person Making the Deposit: | Rhonda Dunn | Signature of the Person Making the Deposit: | <i>Rhonda Dunn</i> |
|------------------|-----------|------------------------------------|-------------|---|--------------------|

Application of: Hooker, at al.

Examiner: Unknown

Filing Date: 11/19/2003

Application No: 10/718,290

Art Unit: 2131

Atty. Docket No: AOL0153

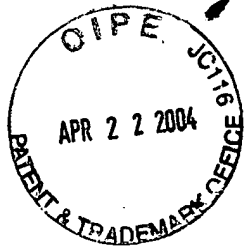
Title: METHOD AND APPARATUS FOR SECURE INSTANT MESSAGING

April 22, 2004

Assistant Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Attached to this transmittal please find the following documents:

1. IDS transmittal form (1 sheet, in duplicate for accounting purposes);
2. Information Disclosure Statement (2 pages);
3. Form 1449 (1 sheet);
4. Copies of all cited references;
5. Copy of International Search Report (7 pages); and
6. Return Postcard



04-26-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hooker, et al.

Docket No.: AOL0153

Serial No. : 10/718,290

Art Unit: 2131

Filed: 11/19/2003

Examiner: Unassigned

Title: METHOD AND APPARATUS FOR SECURE INSTANT MESSAGING

April 22, 2004

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Mail Stop Information Disclosure Statement
P. O. Box 1450
Alexandra, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97(c) together with either a:
 - () Certification under 37 CFR 1.97(e), or
 - () a \$220.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97(d) together with a:
 - () Certification under 37 CFR 1.97(e), and
 - () a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - () a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)


(X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. AOL0153). A copy of this sheet is enclosed for accounting purposes.

(X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



Michael Glenn
Attorney For Applicant
Reg. No. 30,176

Customer No. 22862



| | |
|--|---------------------------|
| Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary) | Serial No. 10/718,290 |
| | Attorney Docket: AOL0153 |
| | Applicant: Hooker, et al. |
| | Group: 2131 |
| | Filing Date: 11/19/2003 |
| | Examiner: Unknown |

U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date |
|------------------|-----|-----------------|----------|------------------|-------|-----------|-------------|
| | A | 2003/0204741 A1 | 10/30/03 | Schoen, et al | 713 | 200 | 4/26/02 |
| | B | 5,657,390 | 8/12/97 | Elgamal, et al | 380 | 49 | 8/25/95 |
| | C | 5,671,279 | 9/23/97 | Elgamal | 380 | 23 | 11/13/95 |
| | D | 6,674,725 | 1/6/04 | Nabkel, et al | 370 | 252 | 4/25/01 |
| | E | 6,324,569 | 11/27/01 | Ogilvie et al | 709 | 206 | 7/18/00 |
| | F | 6,301,609 | 10/9/01 | Aravamudan | 709 | 207 | 9/8/99 |
| | G | 6,161,180 | 12/12/00 | Matyas, et al | 713 | 169 | 8/29/97 |
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| | I | 6,430,602 | 8/6/02 | Kay et al | 709 | 206 | 8/22/00 |
| | J | 6,636,733 | 10/21/03 | Helferich | 455 | 412 | 3/31/00 |

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation | |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
| | | | | | | | Yes | No |
| | | | | | | | | |

Other Documents

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|------------------|-----|---|
| | K | Home Page; www.lending.etrade.com/e/t/mortgage/home Lending; © 2003 E*TRADE Mortgage Corporation |
| | L | www.lending.etrade.com/e/t/mortgage/home ; Lending; Live Chat © 2003 E*TRADE Mortgage Corporation |
| | M | www.lending.etrade.com/e/t/mortgage/home ; Lending; Live Chat Send to Chat Hang Up |
| | N | Home Page; www.ceruleanstudios.com ; Trillian Pro 2.01 |
| | O | www.ceruleanstudios.com ; About Us; History |
| | P | www.ceruleanstudios.com ; Trillian Basic Overview |
| | Q | www.ceruleanstudios.com ; Trillian Basic Tech Specs |
| | R | www.ceruleanstudios.com ; Trillian Pro Overview |

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| | S | www.ceruleanstudios.com ; <u>Trillian Pro Product Tour</u> |
| | T | www.ceruleanstudios.com ; <u>Trillian Pro Tech Specs</u> |
| | U | Web Page; ZONE Labs; <u>Smarter Security</u> ; IMsecure@Pro Release History |
| | V | Pascal, Carrie L.; <u>Enabling Chance Interaction Through Instant Messaging</u> ; IEEE Transactions on Professional Communication; Vol. 46, No. 2, June 2003 |
| | W | Parviainen, Roland, Peter Barnes; <u>Mobile Instant Messaging</u> ; Centre for Distance-spanning Technology, Department of Computer Science, Lulea University of Technology, 974 87 Lulea, Sweden; 0-7803-7661-7/03/\$17.00/2003 IEEE |
| | X | <u>VeriSign Introduces Expanded SMS and MMS Messaging Services</u> ; PR Newswire. New York: Feb 24, 2004; http://Proquest.umi.com/pqdweb?index |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.